

030904

16711  
U.S. PTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3918P017X2D3C

First Inventor Liew C. Chiu, et al.

Title FIBER OPTIC MODULES WITH A LEVER-ACTUATOR DE-LATCHING MECHANISM

Express Mail Label No. EV387144074US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 70]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 50]
5. Oath or Declaration (signed) [Total Pages 4]
- a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
13. ☒ Preliminary Amendment ☒ Application Amended  
to Reflect Claim of Priority
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal of Amended Specification for Scanning  
Purposes  
Notification of Error in Inventor Name

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/939,403

Prior application Information: Examiner Richard H. Kim Group/Art Unit: 2882

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number**08791**or ☐ Correspondence address below

Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

Address

12400 Wilshire Boulevard, 7th Floor

City

Los Angeles

State

California

Zip Code

90025

Country

Telephone

(714) 557-3800

Fax

(714) 557-3347

Name (Print/Type)

William F. Atford

Registration No. (Attorney/Agent)

37,764

Signature

Date

03/09/04

16711  
030904

U.S. PTO

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2004. Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	March 9, 2004
First Named Inventor	Liew C. Chiu
Examiner Name	Richard H. Kim
Art Unit	2882
Attorney Docket No.	3918P017X2D3C

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 806.00

**METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					770.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
22	20	18.00	\$36.00
3	3	86.00	\$0.00

Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					36.00

\*\*or number previously paid, if greater, For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type)

William E. Alford

Registration No.  
(Attorney/Agent)

37,764

Complete (if applicable)

Telephone

(714) 557-3800

Signature

Date

03/09/04

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor &amp; Zafman (wtr) 02/10/2004.

SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : Unassigned  
Applicant : Liew C. Chiu et al.  
Filed : 03/09/2004  
Title : FIBER OPTIC MODULES WITH A LEVER-ACTUATOR DE-  
LATCHING MECHANISM (As Amended)  
TC/A.U. : Unassigned  
Examiner : Unassigned  
Docket No. : 3918P017X2D3C  
Customer No. : 8791

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Which is a Continuation of:

Appl. No. : 10/330,462  
Applicant : Liew C. Chiu et al.  
Filed : 12/26/2002  
Title : DE-LATCHING MECHANISMS FOR FIBER OPTIC MODULES  
TC/A.U. : 2882  
Examiner : Richard H. Kim

**NOTIFICATION OF ERROR IN INVENTOR NAME**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

There is an error in the typed name of inventor Tat Ming Teo on the signed declaration filed herewith in this continuation patent application. This declaration was also filed in parent Application Nos. 10/330,462 and 09/939,403. The fifth inventor's name on the declaration is incorrectly typed as Tat T. Ming due to a transliteration error.

Tat Ming Teo is a Singapore inventor. In transliterating a foreign language name into an English language equivalent, Applicant's attorney erroneously transliterated the inventor's last name into a middle initial and a part of the given name into the family name.

Tat Ming Teo is a proper inventor and signed the declaration filed in this continuation patent application. He is included as a joint inventor. Thus, there is no need to add or delete an inventor under 37 CFR 1.48. "A request under 37 CFR 1.48 will not be required: ... (B) Where a typographical or transliteration error in the spelling of an inventor's name is discovered, the

Office should simply be notified of the error. A new oath or declaration is not required. Reference to the Notification will be made on the previously filed oath or declaration.” [MPEP § 201.03; 8<sup>th</sup> Edition, Rev. 1, Feb. 2003, pages 200-4 to 200-5, see also (E)].

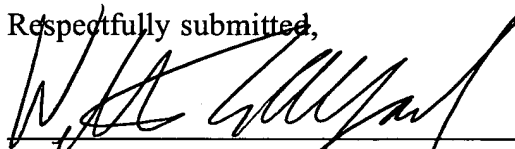
Procedurally in the US Patent and Trademark Office, “[w]hen the Office is notified of a typographical or transliteration error in the spelling of an inventor’s name, ... the change should be noted in red ink in the left margin of the original oath or declaration. The notation should read ‘See Paper No. \_\_\_\_ for inventorship changes.’” [MPEP § 605.04(g); Original 8<sup>th</sup> Edition, Aug. 2001, page 600-47].

Should the inventor’s name appear in error on the filing receipt, Applicant will make a request to correct the inventor’s name thereon.

The U.S. Patent and Trademark Office is hereby authorized in the connection with the filing of this notice to charge Deposit Account 02-2666 should there be any fee due. A duplicate fee transmittal is enclosed for this purpose. If any petition is required for the filing of this notice, it is hereby so petitioned, and should any petition fee or additional fee be required in connection with the filing of this notice, please also charge it to Deposit Account 02-2666.

If there are any questions, Applicant’s undersigned attorney may be reached by telephone at (714) 557-3800.

Respectfully submitted,

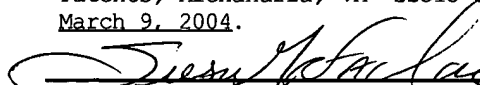
  
\_\_\_\_\_  
William E. Alford, Reg. No. 37,764

Dated: March 9, 2004

12400 Wilshire Boulevard,  
Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on: March 9, 2004.

  
\_\_\_\_\_  
Susan McFarlane

3/9/04  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : Unassigned  
Applicant : Liew C. Chiu et al.  
Filed : 03/09/2004  
Title : FIBER OPTIC MODULES WITH A LEVER-ACTUATOR DE-  
LATCHING MECHANISM (As Amended)  
TC/A.U. : Unassigned  
Examiner : Unassigned  
Docket No. : 3918P017X2D3C  
Customer No. : 8791

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Which is a Continuation of:

Appl. No. : 10/330,462  
Applicant : Liew C. Chiu et al.  
Filed : 12/26/2002  
Title : DE-LATCHING MECHANISMS FOR FIBER OPTIC MODULES  
TC/A.U. : 2882  
Examiner : Richard H. Kim

**Transmittal of Amended Specification for Scanning Purposes**

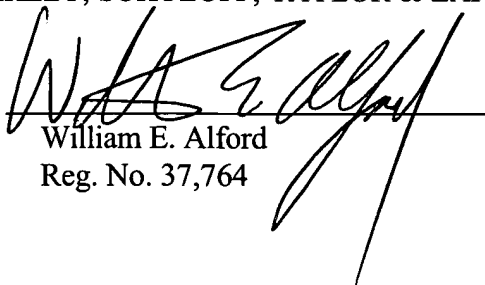
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

Dear Sir:

A clean copy of the specification, for the purpose of scanning for publication, is attached hereto which includes the amendments to the specification, and the amendment and cancellation of claims made by preliminary amendment.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 9, 2004

  
\_\_\_\_\_  
William E. Alford  
Reg. No. 37,764